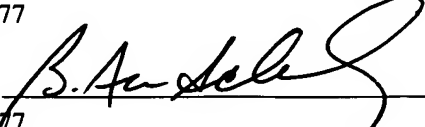


10/507028

Customized Form PCT/US 139 (Rev 02-2005)

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		ATTORNEY DOCKET NO. <b>P07564US03/BAS</b>
		US APPL. NO. (If known, see 37CFR 1.5) <b>10/507,028</b>
INTERNATIONAL APPLICATION NO. <b>PCT/US03/07617</b>	INTERNATIONAL FILING DATE <b>13 March 2003</b>	PRIORITY DATE CLAIMED <b>13 March 2002</b>
TITLE OF INVENTION: METHOD OF ISOLATING BINDING PEPTIDES FROM A COMBINATORIAL PHAGE...		
APPLICANT(S) FOR DO/EO/US: NAIK et al.		
Applicant herewith submits to the US Designated/Elected Office (DO/EO/US) the following items and other information:		
<input type="checkbox"/> 1. This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371. <input checked="" type="checkbox"/> 2. This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 USC 371. <input type="checkbox"/> 3. This is an express request to begin national examination procedures (35 USC 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. <input type="checkbox"/> 4. The US has been elected (Article 31). <input type="checkbox"/> 5. A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) <input type="checkbox"/> a. is attached hereto (required only if not communicated by the International Bureau). <input type="checkbox"/> b. has been communicated by the International Bureau <input type="checkbox"/> c. is not required, as the application was filed in the United States Receiving Office (RO/US). <input type="checkbox"/> 6. An English translation of the International Application as filed (35 U.S.C. 371(c)(2)). <input type="checkbox"/> a. is attached hereto. <input type="checkbox"/> b. has been previously submitted under 35 U.S.C. 154(d)(4). <input type="checkbox"/> c. is not needed since the International Application is in English. <input type="checkbox"/> 7. Amendments to the claims of the International Appln. under PCT Article 19 (35 USC 371 (c)(3)) <input type="checkbox"/> a. are attached hereto (required only if not communicated by the International Bureau). <input type="checkbox"/> b. have been communicated by the International Bureau. <input type="checkbox"/> c. have not been made; however, the time limit for making such amendments has NOT expired. <input type="checkbox"/> d. have not been made and will not be made. <input type="checkbox"/> 8. An English translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). <input checked="" type="checkbox"/> 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). <input type="checkbox"/> 10. An English translation of the annexes to the Int'l Pre. Exam. Report under PCT Article 36 (35 USC 371(c)(5)).		
<b>Items 11. to 20. below concern document(s) or information included:</b> <input type="checkbox"/> 11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98. <input type="checkbox"/> 12. An Assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 & 3.31 is included. <input checked="" type="checkbox"/> 13. A preliminary amendment and statement regarding Sequence Listing and Sequence Listing. <input type="checkbox"/> 14. An Application Data Sheet under 37 CFR 1.76. <input type="checkbox"/> 15. A substitute specification. <input type="checkbox"/> 16. A power of attorney and/or change of address letter. <input checked="" type="checkbox"/> 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 & 37 CFR 1.821-825. <input type="checkbox"/> 18. A second copy of the published international application under 35 USC 154(d)(4). <input type="checkbox"/> 19. A second copy of the English translation of the international application under 35 USC 154(d)(4). 20. Other items or information: <input type="checkbox"/> Return Receipt Postcard. <input checked="" type="checkbox"/> A copy of the Notification of Missing Requirements under 35 U.S.C. 371. <input checked="" type="checkbox"/> In the event that a petition for extension of time is required to be submitted herewith, and in the event that a separate petition does not accompany this response, applicant hereby petitions under 37 CFR 1.136(a) for an extension of time of as many months as are required to render this submission timely. Any fee is authorized in 21(c). <input checked="" type="checkbox"/> Petition for Extension of Time		
Date: 17 May 2005		

U.S. APPLICATION NO. (If known) 10/507,028		INTERNATIONAL APPLICATION NO. PCT/US03/07617		ATTORNEY DOCKET NO. P07564US03/BAS	
<b>The following fees have been submitted:</b>				<b>CALCULATIONS</b>	<b>PTO USE ONLY</b>
<input type="checkbox"/> 21. Basic national fee		\$300		\$	
<input type="checkbox"/> 22. Examination fee					
<input type="checkbox"/> IPER prepared by USPTO & all claims satisfy PCT Art. 33(1-4)		\$100		\$	
<input type="checkbox"/> All other situations		\$200			
<input type="checkbox"/> 23. Search fee					
<input type="checkbox"/> Has been paid on the Int'l Appln. to the USPTO as ISA (37 CFR 1.445.a.2)		\$100		\$	
<input type="checkbox"/> International Search Report prepared and provided to the Office		\$400			
<input type="checkbox"/> All other situations		\$500			
<b>TOTAL OF 21, 22 AND 23</b>				\$	
<input type="checkbox"/> SIZE FEE: sheets - 100 = ÷ 50 = [rounded up] × \$250 =				\$	
<input checked="" type="checkbox"/> Surcharge of \$130 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e))		\$130		\$ 130	
<b>CLAIMS</b>	<b>NUMBER FILED</b>	<b>NUMBER EXTRA</b>	<b>RATE</b>		
Total Claims	- 20 =		X \$50 =	\$	
Independent Claims	- 03 =		X \$200 =	\$	
<input type="checkbox"/> Multiple Dependent Claim(s) (if applicable)			+ \$360 =	\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$	
<input checked="" type="checkbox"/> Applicant claims small entity status - 37 CFR 1.27. Fees above reduced by ½.				\$ 65	
<b>SUBTOTAL =</b>				\$ 65	
<input type="checkbox"/> Processing fee for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f))		\$130		\$	
<b>PETITION FOR EXTENSION OF TIME FEE =</b>				\$ 510	
<input type="checkbox"/> Fee for recording enclosed assignment (37 CFR 1.21(h)). Assignment must be accompanied by appropriate cover sheet (37 CFR 3.28, 3.31)		\$40 per property		\$	
<b>TOTAL FEES ENCLOSED =</b>				\$ 575.00	
<b>65.00 OP</b>				<b>Refunded</b>	\$
<b>Amount to be</b>				<b>Charged</b>	\$
<input type="checkbox"/> a. A CHECK in the amount of \$                      to cover the above fees is enclosed. <input checked="" type="checkbox"/> b. Payment of \$ 575.00 is made by attached Credit Card Payment Form (PTO-2038) <input checked="" type="checkbox"/> c. The Commissioner is hereby authorized to charge any additional fees which may be required or credit overpayment to Deposit Account No. 12-0555. <input type="checkbox"/> d. Do not charge any claim fees now - any additional claims fees will be paid with the Missing Requirements.					
<b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
POWER OF ATTORNEY & CORRESPONDENCE ADDRESS:  <b>CUSTOMER NO. 00881</b> SNAJARRO 00000078 10507028 Date: 17 May 2005      510.00 OP			NAME: B. Aaron Schulman REG. NO.: 31,877  Signed (for) by:  REG. NO.: 31,877		



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/507,028	FIRST NAMED APPLICANT Rajesh R Naik	ATTY. DOCKET NO. P07564US03/BAS
---	--	------------------------------------

INTERNATIONAL APPLICATION NO. PCT/US03/07617	
LA. FILING DATE 03/13/2003	PRIORITY DATE 03/13/2002

00881  
STITES & HARBISON PLLC  
1199 NORTH FAIRFAX STREET  
SUITE 900  
ALEXANDRIA, VA 22314

RECEIVED

JAN 13 2005

STITES &amp; HARBISON PLLC

CONFIRMATION NO. 7699  
371 FORMALITIES LETTER

\*OC000000014936678\*

Date Mailed: 01/12/2005

3-12-2005 JP

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 09/08/2004
- Copy of the International Search Report filed on 09/08/2004
- Small Entity Statement filed on 09/08/2004
- U.S. Basic National Fees filed on 09/08/2004
- Priority Documents filed on 09/08/2004

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$65 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

- \$65 Late oath or declaration Surcharge.

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with

the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):

- This application does not contain a "Sequence Listing" as a separate part of the disclosure on paper copy or compact disc, as required by 37 CFR 1.821(c) and PCT Rule 5.2(a).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e) and PCT Rule 13ter.1(a)(ii).
- APPLICANT MUST PROVIDE:
  - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
  - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.

- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

WINSTON M ALVARADO

Telephone: (703) 305-6421

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/507,028	PCT/US03/07617	P07564US03/BAS